Anonymizing and Obfuscating PDF Content while Preserving **Document Structure**

Charlotte Curtis ccurtis@mtroyal.ca Mount Royal University Calgary, Alberta, Canada

ABSTRACT

The portable document format (PDF) is both versatile and complex, with a specification exceeding well over a thousand pages. For independent developers writing software that reads, displays, or transforms PDFs, it is difficult to comprehensively account for all of the potential variations that might exist in the wild. Compounding this problem are the usage agreements that often accompany purchased and proprietary PDFs, preventing end users from uploading a troublesome document as part of a bug report and limiting the set of test cases that can be made public for open source development.

In this paper, pdf-mangler is presented as a solution to this problem. The goal of pdf-mangler is to remove information in the form of text, images, and vector graphics while retaining as much of the document structure and general visual appearance as possible. The intention is for pdf-mangler to be deployed as part of an automated bug reporting tool for PDF software.

CCS CONCEPTS

• Information systems \rightarrow Document structure; • Security and privacy \rightarrow Data anonymization and sanitization; • Software and its engineering \rightarrow Software testing and debugging.

KEYWORDS

PDF, document transformation, privacy

ACM Reference Format:

Charlotte Curtis. 2022. Anonymizing and Obfuscating PDF Content while Preserving Document Structure. In DocEng '22: Proceedings of the 22nd ACM Symposium on Document Engineering. ACM, New York, NY, USA, 4 pages. https://doi.org/10.1145/3558100.3563849

INTRODUCTION 1

PDFs are a commonly used file format for information exchange, preserving both the author's content and visual appearance across operating systems and software packages. PDFs are often treated as a "digital paper" end product and can be produced by many different publishing tools. As the PDF specification allows for many different ways of producing an equivalent visual result, there are at least as many different potential document structures as there are authoring tools. Additionally, many PDFs are treated as digital commercial

DocEng '22, September 20-23, 2022, Virtual Event, CA, USA

© 2022 Copyright is held by the owner/author(s). Publication rights licensed to ACM. ACM 978-1-4503-9544-1/22/09...\$15.00

https://doi.org/10.1145/3558100.3563849

goods that are purchased and subject to usage agreements such as non-transferability.

For developers writing software to read and interpret PDFs, an unexpected document structure can cause the program to malfunction. However, if such a document is confidential, the software developer cannot readily reproduce the bug encountered by the end user, posing a challenge for debugging. This is particularly an issue in the open source domain, where it is beneficial to the project to have a common set of test cases spanning a range of document structures.

This paper presents pdf-mangler¹, an open-source Python library designed to anonymize and obfuscate PDFs while preserving document structure. The purpose of this tool is to enable end users to submit reproducible bug reports to software developers while respecting the usage agreements of confidential documents.

2 BACKGROUND

2.1 Related Work

Anonymization and permutation of documents arises in several domains, including medical record sanitization, redaction of financial documents, anonymization for peer review, and more. However, the PDF format is either briefly mentioned as the original source, with contents converted to text [7], or the focus is on detecting malicious obfuscation [10] and similar security concerns [6].

A number of software packages, including Adobe's own Acrobat, can be used to manually redact sensitive information, but this is not an automated process. To address this, automated tools such as the open-source pdf-anonymizer [11] have been published to replace or redact personal identifying information. Similar tools include pdfparanoia [5], the Metadata Anonymation Toolkit [13], and anonympy [2].

The goals of existing anonymization tools differ from those of pdf-mangler, as existing tools aim to preserve the visual appearance without regard for document structure. Additionally, as the focus is typically on redacting sensitive personal information, vector graphics are ignored.

To the best of the author's knowledge, there is no existing tool to remove content from a PDF while retaining structure for the purposes of debugging PDF-handling software.

2.2 PDF Structure

PDFs are a flexible file format that can be structured in many different ways. The fundamental building block of a PDF is the dictionary object, a set of key/value pairs that tie together a group of associated data, while streams are sequences of bytes that may be interpreted

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires specific permission and/or a fee. Request permissions from prior Permissions@acm.org.

¹Available at https://github.com/cfcurtis/pdf-mangler

DocEng '22, September 20-23, 2022, San Jose, CA, USA

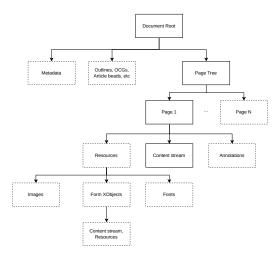


Figure 1: A subset of potential objects described by a PDF, with optional entries outlined in dashed lines.

in a number of different ways [12]. PDF objects may be labelled as indirect, giving the object a unique identifier and allowing other objects to reference it in a non-linear fashion.

All PDFs have a document Catalog at the root of the document body. This dictionary contains a requisite Pages key, which in turn references one or more children consisting of either individual pages or other page trees. Each page is described by the Content stream, which may reference optional Resources such as fonts, images, or eternal objects (*XObjects*). Form XObjects are drawn on the page where specified and are similar to pages in structure, and may reference their own set of resources, including other XObjects. Page objects may also include annotations, metadata, thumbnail images, and more.

In addition to the Pages entry, the document root may contain Outlines allowing for rapid navigation within the document, OCProperties describing the optional content groups within the document, document-level metadata, JavaScript actions, and more. Figure 1 depicts the general hierarchy of some of the objects within a PDF, but this is by no means comprehensive [12].

The content stream for a page or XObject contains a series of commands that draw glyphs, vector graphics, or images on the page. These commands, consisting of operator/operand pairs, either cause content to be displayed, such as path-painting or text-showing, or cause the graphics state to be modified, such as changing the line thickness or font.

As an example, consider the sample-sigconf.pdf document provided as part of the ACM LATEX template. Figure 2 shows the first page from this document containing text, image, and vector graphic elements. Depending on the PDF generation software and decisions made by the authors, there are different ways of producing the same visual effect. For example, the image of the baseball diamond could be an image XObject referenced in the page Resources entry, or the image data could be contained in the content stream itself as an inline image. Instead, the image is actually drawn on the page as a *form* XObject, which in turn references the image data in the form's Resources entry. If a software package expects all images <section-header><section-header><section-header><section-header><section-header><text><text><text><text><text><text><text><text><text><text><text><text><text><text><text><text><text><text><text><text>

Figure 2: The first page from the sample ACM SIG Conference LATEX template.

to be referenced from page resources directly, then this document would result in unexpected behaviour for an end user. While this is a simple example, it is just one of many ways that PDF-aware applications need to consider all the different possible document structures, something that is difficult to accomplish when the only representative samples may be confidential.

3 METHODS

To preserve the document structure while removing the content, a Python library named pdf-mangler was written using PikePDF [4] to read and parse content streams. A configuration file is used to define the mangling operations of interest; in this section, the default options are described. The term "mangle" was chosen to describe anonymization and obfuscation for its use in the context of rendering text unrecognizable, as well as for its adoption in the Python language specification.

After loading the document of interest and configuration file, pdf-mangler performs the following operations:

- Document-level data is anonymized and obfuscated, including metadata, outlines, and OCGs.
- (2) Indirect objects are examined. Images and JavaScript are replaced while fonts are parsed.
- (3) Page elements such as thumbnails and annotations are removed or mangled.
- (4) Content streams of pages and form XObjects are parsed and rewritten with text and vector elements mangled.
- (5) The resulting PDF is saved with a uniquely generated name based on the original document contents.

Rather than describe each of these steps in detail, the following sections discuss how different types of data are treated.

Charlotte Curtis

Anonymizing and Obfuscating PDF Content while Preserving Document Structure

3.1 Text

Text is contained in many human- and machine-readable parts of a PDF, including content streams, document outline, OCG names, annotations, and more. The goal of text mangling is to remove the semantic meaning while retaining the approximate visual appearance of the original text. To accomplish this, pdf-mangler defines a set of character replacement rules rather than replacing at complete random.

pdf-mangler begins by parsing the fonts defined in a PDF to determine and categorize supported characters. If a subset of characters is defined by a CharSet entry, the named characters are mapped to unicode values using the Adobe glyph list [1]. If the CharSet entry is not present, the unicode characters are defined using the font's FirstChar and LastChar entries. Next, the unicode values are categorized using the build-in Python unicodedata module, resulting in a dictionary of key/value pairs with categories as keys and supported characters as values. If the font does not have defined first/last char values, it is assumed to be one of the standard 14 type 1 fonts and a default Latin-1 character set is defined [12].

Inspired by the rules defined in pdf-anonymizer[11], pdf-mangler allows punctuation characters to pass unmodified. Upper- and lower-case characters and numbers are replaced by a random selection of a supported character from the same category in the active font. If the original character is in the standard Latin alphabet, the replacement character is drawn from that set to avoid overrepresentation of non-Latin characters. This is a rather Englishcentric approach; a better solution would be to define the "standard" alphabet based on the dominant language of the document.

As fonts may change on a given page, the active font is tracked while parsing the content stream to ensure replacement characters can be displayed. As an example, the title of the sample document shown in figure 2 has a font with a CharSet entry defined as "/H/I/N/T/a/e/f/h/i/l/m/o/p/s/t". Replacement characters must be drawn from this set for the mangled result to correctly render glyphs.

3.2 Images

Images can be displayed in PDFs either as inline image data or, more commonly, as referenced external stream objects with associated image encoding information. To manipulate the image, the object is first converted to a Python Imaging Library (PIL) image [8]. This image is then converted to RGB mode, filtered using a large radius Gaussian blur (defaulting to 1/8th the smallest image dimension), then converted back to the original image mode. The resulting bytes are written back to the PDF object using the filter(s) specified by the stream dictionary.

If this process is unsuccessful due to an unknown image format or similar problem, a new image of the same dimensions with a uniform greyscale value is instead created and written using the original mode and filter parameters. Finally, if that is unsuccessful, a greyscale RGB image is written to the object with the FlateDecode filter.

3.3 Vector Graphics

Vector graphics are a source of considerable information, particularly for technical drawings and other diagrams. To mangle vector graphics elements, the content stream is parsed to keep track of the "current point" and modify the operands of any path construction operators. Path construction operators 1, c, v, y append a new point as either a straight or curved segment, while m begins a new path and re draws a complete rectangle.

Paths are modified by randomly perturbing the start and end points of a segment by up to 20% of its original length or 18 points (1/4" in PDF units), whichever is greater. Curved segment control points are not modified.

To avoid complete visual chaos, lines or rectangles that are orthogonal to and spanning most of a page are not modified. Such items are likely borders or gridlines and do not convey proprietary information. Similarly, clipping paths are not modified by default.

3.4 Other PDF Elements

Document-level metadata is treated somewhat differently, as some of the information, such as author name, is private, while others such as PDF creator tool can provide valuable debugging information. For this reason, a subset of metadata of interest for developers is retained, while all others is deleted. Page thumbnails are also deleted, and binary streams found in the PieceInfo entry of a page are overwritten with empty bytes, as these fields may be populated with arbitrary data by a given production tool.

JavaScript objects pose a challenge, as they can contain a complex set of commands. JavaScript entries are overwritten with a simple pop-up to inform the developer that a JavaScript object exists. Similarly, action items that open a URL are overwritten with mangled text, while regular text annotations are treated like document text.

Finally, to generate a name for the mangled PDF, the ID field in the trailer is read and hashed, ensuring a unique name for a given document. If the ID field is not present, the raw bytes of the page contents are hashed instead.

4 **RESULTS**

Figure 3 shows the sample page from figure 2 after processing with pdf-mangler. The text is now unintelligible, but rendered with valid glyphs in the appropriate font. Some overlap in glyphs is apparent, particularly where the LATEX command is typeset.

The image on the page is replaced by a significantly blurred version, while the two horizontal vector lines separating footnote and copyright statement are skewed. In this particular example these vectors do not convey information and it would be appropriate to exclude vector mangling via a custom configuration file.

By contrast, figure 4 shows the before and after mangling results of a primarily vector document. While the vertical line running the length of the page and the rectangle defining the page boundary are preserved, the remaining vector elements are perturbed in such a way that the drawing loses its meaning. Additionally, text elements are randomly replaced, as with the example of figure 3.

5 LIMITATIONS AND FUTURE DIRECTIONS

The primary limitation of pdf-mangler stems from the very problem it is designed to address: there are so many different features of PDFs that pdf-mangler is surely not mangling all the potential components that could contain identifying or proprietary information. Furthermore, while pdf-mangler attempts to preserve the



Figure 3: The first page from the sample ACM SIG Conference [#TFX template after mangling.

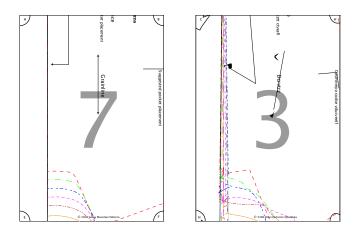


Figure 4: A page from a technical drawing file before (left) and after (right) mangling.

document structure, there is an inherent modification introduced by reading and re-writing the document; it is possible that the original document could trigger a bug that is not replicated with the mangled version. This is particularly likely for documents relying on JavaScript, which is currently obliterated by pdf-mangler. Additional issues may be encountered with unexpected image formats, embedded videos, and errors relying on precise text dimensions.

To address these limitations, future work on pdf-mangler will include consideration of character dimensions when replacing glyphs and improved parsing of embedded multimedia and JavaScript content.

Several decisions that were made in developing pdf-mangler may not be appropriate for all documents. In particular, perturbation of vector graphics poses a challenge, and future iterations may refine this process to skew graphics in a more controlled manner. In some cases, technical drawings are formed of many small vector elements instead of larger continuous lines; in these cases, the mangling approach taken here may fail to sufficiently obscure the original intent of the drawing.

Finally, pdf-mangler was developed without consultation from a copyright expert. Such consultation would be beneficial prior to deployment to determine whether mangling of contents and transmitting the modified result for the purposes of debugging software is considered fair use.

To date, pdf-mangler has been developed and tested on the author's personal document collection, as well as a number of publicly available files [3]. In the future, pdf-mangler will be deployed as part of an automatic bug submission tool for PDFStitcher, an opensource tool for manipulating PDF sewing patterns [9]. Ultimately, pdf-mangler will enable developers of PDFStitcher and similar utilities to replicate end user bugs without transmitting files subject to copyright agreements.

6 CONCLUSION

Working with PDFs is a challenging prospect for developers, especially for maintainers of open source projects with limited resources. A particular challenge emerges when an end user encounters an issue but cannot rightfully share the affected document due to usage rights agreements. By replacing text with random characters, blurring images, and permuting control points of vector graphics, pdf-mangler is presented as a solution for producing a copy of a proprietary document that can be used to debug the issue without sharing sensitive information.

REFERENCES

- [1] [SW] Adobe, Adobe Glyph List Specification Aug. 21, 2019. Adobe Type Tools. URL: https://github.com/adobe-type-tools/agl-specificationRetrieved June 23, 2022 from.
- [2] [SW], Anonympy Aug. 7, 2022. ArtLabs. URL: https://github.com/ArtLabss/op en-data-anonymizerRetrieved Aug. 9, 2022 from.
- PDF Association. 2022. Pdf-association/pdf-corpora: An index of PDF-centric corpora. (June 13, 2022). Retrieved June 24, 2022 from https://github.com/pdfassociation/pdf-corpora.
- [4] [SW] James Barlow, PikePDF version 5.1.2, Apr. 17, 2022. URL: https://pikepdf .readthedocs.io/en/latest/.
- [5] [SW] Bryan Bishop, Pdfparanoia 2020. URL: https://github.com/kanzure/pdfpa ranoiaRetrieved Aug. 9, 2022 from.
- [6] Aniello Castiglione, Alfredo De Santis, and Claudio Soriente. 2010. Security and privacy issues in the Portable Document Format. *Journal of Systems and Software*, 83, 10, (Oct. 1, 2010), 1813–1822. DOI: 10.1016/j.jss.2010.04.062.
- [7] Rosario Catelli, Francesco Gargiulo, Valentina Casola, Giuseppe De Pietro, Hamido Fujita, and Massimo Esposito. 2021. A Novel COVID-19 Data Set and an Effective Deep Learning Approach for the De-Identification of Italian Medical Records. *IEEE Access*, 9, 19097–19110. DOI: 10.1109/ACCESS.2021.3054479.
- [8] [SW] Alex Clark, Pillow version 9.1.1, May 17, 2022. URL: https://pillow.readth edocs.io/en/stable/index.htmlRetrieved June 23, 2022 from.
- [9] [SW] Charlotte Curtis, PDFStitcher version 0.6.2, May 11, 2022. URL: https://w ww.pdfstitcher.org/.
- [10] Xun Lu, Jianwei Zhuge, Ruoyu Wang, Yinzhi Cao, and Yan Chen. 2013. Deobfuscation and Detection of Malicious PDF Files with High Accuracy. In 2013 46th Hawaii International Conference on System Sciences. 2013 46th Hawaii International Conference on System Sciences. (Jan. 2013), 4890–4899. DOI: 10.1109/HICSS.2013.166.
- [11] [SW] Andrew Naoum, Pdf-Anonymizer Oct. 15, 2019. URL: https://github.com /sypht-team/pdf-anonymizer.
- [12] 2019. PDF, Version 1.7 (ISO 32000-1:2008). (Mar. 1, 2019). Retrieved June 20, 2022 from https://www.loc.gov/preservation/digital/formats/fdd/fdd000277.shtml.
- [13] Julien Voisin, Christophe Guyeux, and Jacques M. Bahi. 2013. The Metadata Anonymization Toolkit. (May 26, 2013). arXiv: 1212.3648 [cs]. DOI: 10.48550/a rXiv.1212.3648.